

## CORPORATE RESOLUTION

I, \_\_\_\_\_ in my official capacity, hereby certify: that I am an officer, namely \_\_\_\_\_ of \_\_\_\_\_, a corporation duly organized and existing under the laws of \_\_\_\_\_; that at a meeting of the \_\_\_\_\_ of this corporation, duly and regularly convened and held on the \_\_\_\_\_ day of the \_\_\_\_\_, 20\_\_\_\_, at which a quorum for the transaction of business was and acting, the following resolution was duly and regularly adopted, and is still in full force and effect, and appears as follows in the minutes of the meeting.

RESOLVED: that \_\_\_\_\_, who is the \_\_\_\_\_ of this corporation is hereby authorized to sell, assign and transfer the following:

\_\_\_\_\_ and to execute any and all instruments necessary, proper or desirable for the purpose; further, that any past action in accordance herewith is hereby ratified and confirmed; and further, that any officer of the corporation is hereby authorized to certify this resolution to whom it may concern.

I further certify that the foregoing resolution is not contrary to any provisions in the charter or bylaws of this corporation, that \_\_\_\_\_ now is \_\_\_\_\_ of this corporation, and that I have been duly authorized to make this certificate on behalf of this corporation.

In witness whereof, I here unto set my hand and affix the seal of this corporation on this \_\_\_\_\_ Day of \_\_\_\_\_, 20\_\_\_\_.

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(Signature and Title of certifying officer)

Account Number -

# Supplemental Account Holder Information Form

This form is intended to capture required information. Please complete this form for each account holder/authorized individual and attach it to the account application.

## IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT

To help the government fight the funding of terrorism and money-laundering activities, Federal law and contractual obligations to National Financial Services LLC ("NFS") require that your Broker/Dealer verify your identity by obtaining your name, date of birth, address, and a government-issued identification number before opening your account. In certain circumstances, your Broker/Dealer may obtain and verify this information with respect to any person(s) authorized to effect transactions in an account. For certain entities, such as trusts, estates, corporations, partnerships, or other organizations, identifying documentation is also required. Your account may be restricted and/or closed if your Broker/Dealer cannot verify this information. Neither your Broker/Dealer nor NFS will be responsible for any losses or damages (including but not limited to lost opportunities) resulting from any failure to provide this information, or from any restriction placed upon, or closing of, your account.

Account Holder/Authorized Individual

Social Security Number -- Taxpayer ID Number -

U.S. Driver's License Number  State of Issuance  Date of Birth --

Official Full Name of Business or Entity  Line of Business

Countries of Citizenship  U.S.  Others (List All)

### Legal Address - (Required Information - No P.O. Boxes)

Full Address

### Mailing Address - (If different than Legal Address)

Full Address

Home Phone -- Work Phone -- Ext

### Government ID - Foreign Citizens Only (Identification document must carry reference number and photograph.)

Immigration Status  Permanent Resident  Non-Permanent Resident  Non-Resident

Place of Birth City  State/Province  Country

U.S. Driver's License (Provided Above)  INS Permanent Resident Alien Card  Passport with U.S. Visa  Passport without U.S. Visa\*  Foreign National Identity Document\*

Date of Issuance -- Expiration Date --

Document Number  Country of Issuance

\*Information Required Bank Name  Account Number  Phone Number

### Government ID - Foreign Entities Only

Type of Document

Government Issued Identification Number  Country of Issuance

The attached customer agreement governing this account is hereby amended to include the following terms regarding the verification of customer identity. In the event of a conflict, the following provision shall control.

To help the government fight the funding of terrorism and money-laundering activities, Federal law and contractual obligations to National Financial Services LLC ("NFS") require that my Broker/Dealer obtain my name, date of birth, address and a government issued identification number before opening my account to verify my identity. In certain circumstances, my Broker/Dealer may obtain and verify this information with respect to any person(s) authorized to effect transactions in an account. For certain entities, such as trusts, estates, corporations, partnerships or other organizations, identifying documentation is also required. My account may be restricted and/or closed if my Broker/Dealer and/or NFS cannot verify this information. Neither my Broker/Dealer nor NFS will be responsible for any losses or damages (including but not limited to lost opportunity) resulting from any failure to provide this information, or from any restriction placed upon, or closing of my account.

Any information I provide to my Broker/Dealer may be shared by my Broker/Dealer and/or NFS with third parties for the purpose of validating my identity and may be shared for other purposes in accordance with any applicable privacy policy of my Broker/Dealer and the National Financial Services LLC Privacy Policy. Any information I give to my Broker/Dealer may be subject to verification and I authorize my Broker/Dealer and/or NFS to obtain a credit report about me at any time. Upon written request, I will be provided the name and address of the credit reporting agency used. My Broker/Dealer and/or NFS also may monitor or tape-record conversations with me in order to verify data about any transactions I request and I consent to such monitoring or recording.

I represent that I have read the terms and conditions set forth in the customer agreement concerning this account and agree to be bound by such terms and conditions as are currently in effect and as may be amended from time to time.

Signature  Date

National Financial Services LLC, Member NYSE, SIPC

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